

**Ministry of Drinking Water & Sanitation  
Government of India**



**Background Note and Agenda for Regional Meetings with State Secretaries In-charge of Rural Drinking Water and Sanitation (Goa, Gujarat, Haryana, Himachal Pradesh, Jammu & Kashmir, Maharashtra and Rajasthan)**

**Nirmal Bharat Abhiyan (NBA)**

**27<sup>th</sup> July, 2012  
Jaipur, Rajasthan**

**AGENDA FOR REGIONAL MEETING OF THE STATE SECRETARIES IN-CHARGE**  
**OF RURAL DRINKING WATER AND SANITATION**  
**TO BE HELD ON 27<sup>TH</sup> JULY, 2012 AT JAIPUR**

The **Nirmal Bharat Abhiyan (NBA)** envisages to cover the entire community as following :

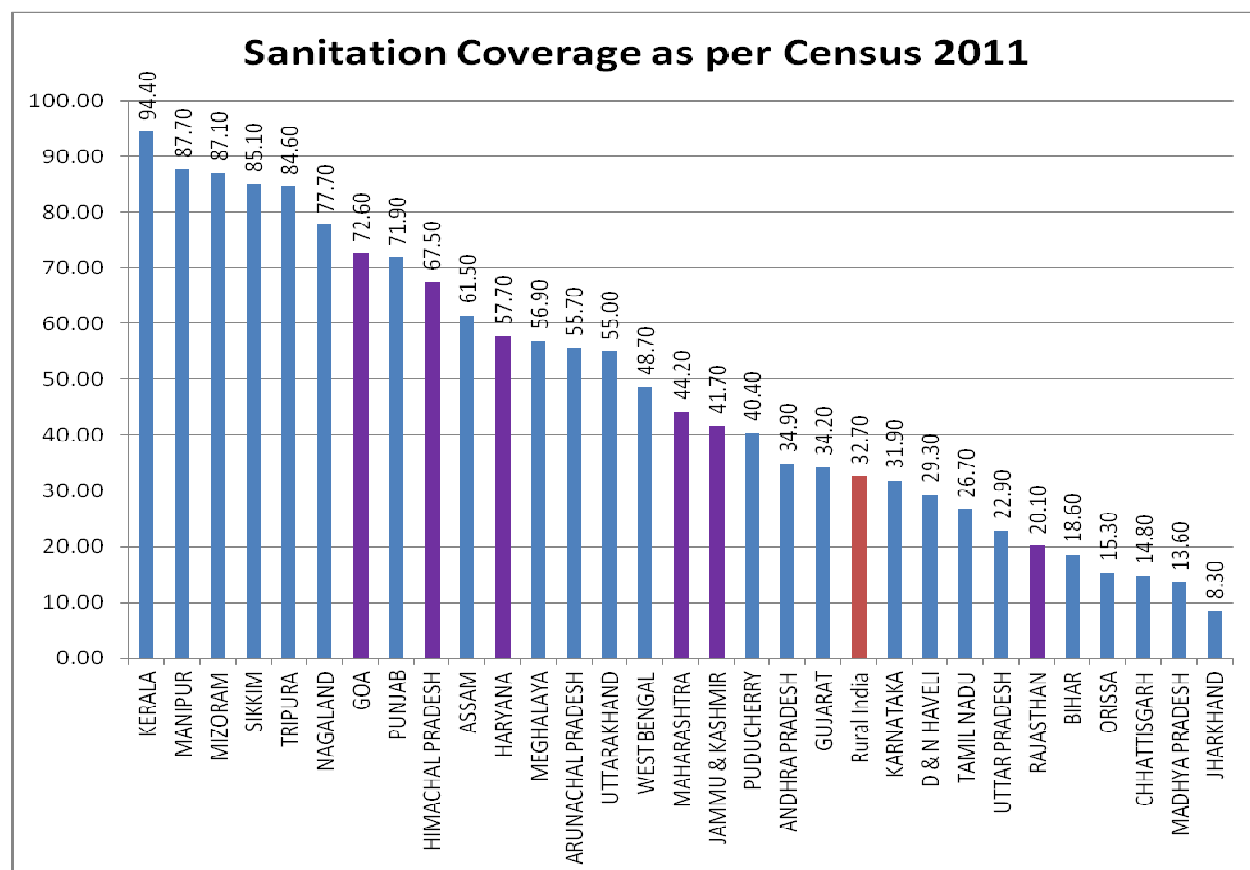
- Annual identification of proposed Nirmal Grams in a phased manner based on defined criteria
- Whole GPs to be taken up for Nirmal Grams in a saturation mode
- Gram Panchayats with water availability in all habitations to be given priority
- GPs with higher sanitation coverage to be prioritised under NRDWP
- Priority also to Nutrition Focus Distts, Adarsh Grams and Minority concentrated districts with a view to create **Nirmal Grams**
- Provide increased incentive amount IHHL for both BPL and APLs who are SCs/STs, Small and Marginal Farmers, Landless Labourers with Homestead, Physically Handicapped and Women Headed Households
- Incentive for above to be upto Rs 10,000 per IHHL with Rs 5500 under NBA including Rs 900 as beneficiary contribution, and Rs 4500 under MNREGS.
- NBA Central Share of incentive raised from Rs. 2200.00 to Rs. 3200.00
- State Government share of incentive will be Rs.1400.00
- Minimum beneficiary contribution from Rs.300.00 to Rs. 900.00
- Additional provision of Rs. 500 for hilly and difficult areas to continue
- State Governments to have flexibility to provide higher incentive for IHHL from their own funds
- Solid and Liquid Waste management (SLWM) to be implemented in ‘project mode’ for each Gram Panchayat (GP) with financial assistance for each GP on basis of number of households.
- Provision of Rs. 7/12/15/20 lakh for Gram Panchayats having up to 150/300/500/ more than 500 households on a Centre and State/GP sharing ratio of 70:30.
- Projects to be prioritized in identified GPs targeted for Nirmal status and those that have already been awarded Nirmal Gram Puraskar (NGP). Any additional cost requirement to be met from the State/GP.
- Capacity Building component to be a part of IEC which is up to 15 per cent of the project outlay and 2 per cent of that to be earmarked for Capacity Building.
- Administrative component to be reduced to up to 4 per cent of the project outlay, as against the present provision of up to 5 per cent.
- Prioritise construction of Anganwadi toilets in government buildings in 200 high focused districts to assist in tackling the issue of malnutrition.
- Provide space for role of NGOs, Self Help Groups and CBOs in NBA.
- All government buildings constructed with financial support of the Centre to have appropriate sanitation facilities as an integral part.
- The date of implementation for the revised proposals to be with effect from 01.04.2012.

Agenda items for discussions in respect of rural sanitation are as follows:

## 1. Review performance of states

**1.1 Rural Sanitation Coverage :** As per census 2011, sanitation coverage in rural India has reached 32.70% taking into consideration the increased population. Census 2011 has reported an increase in 2.96 crore households in rural areas as compared to census 2001. As per NSSO Report of 2008-09, 34.80 % rural households used toilets. As per Online Reporting Data from Ministry of Drinking Water & Sanitation 68.41% of the total project objectives identified have been achieved. Comparative statement of State wise figures with respect to Rural Sanitation coverage as per Census 2001, NSSO-2008-09 Survey, Census2011 and NBA Online Monitoring System 2010-11 is as under.

State Name	Census2001	Sanitation Coverage NSSO (2008-09)	Census2011	Sanitation Coverage as per TSC online 2010-11
GOA	48.21	63.80	72.60	89.78
GUJARAT	21.65	32.70	34.20	81.74
HARYANA	28.66	54.70	57.70	91.80
HIMACHAL PRADESH	27.72	53.50	67.50	100.00
JAMMU & KASHMIR	41.80	65.10	41.70	39.59
MAHARASHTRA	18.21	39.30	44.20	70.29
RAJASTHAN	14.61	17.90	20.10	54.61
<b>All India</b>	<b>21.92</b>	<b>34.80</b>	<b>32.70</b>	<b>68.41</b>



There appears to be a big data gap between the Census 2011 figures and the data reported by the States to the Ministry. There is need to identify the reasons for this data gap and take suitable measures to accelerate sanitation coverage in the country.

**1.2 Physical progress :** State-wise detailed physical progress is at Annexure-I. State-wise IHHL Objectives, Achievement, balance objectives, AIP objectives, GP identified etc is given below :-

State	%Households without toilets as per Census 2011	Cumulative Target(Since 2001)	Objective Achieved(as on 31.3.2012)	Balance Objective (as on 1.4.2012)	Objectives for 2012-13(from district AIP)	No. of GPs identified for saturation(in 2012-13)	Objective met in identified GPs	Balance objective for other GPs
GOA	29.10	45323	34553	10770	2904	0	0	0
GUJARAT	67.00	5378487	4425086	953401	285713	1405	478146	0
HARYANA	43.90	2095434	2019501	75933	145478	1644	480611	0
HIMACHAL PRADESH	33.40	850750	1025355	-174605	4085	1941	504266	0
JAMMU & KASHMIR	61.40	1470803	424836	1045967	265946	0	0	265946
MAHARASHTRA	62.00	9728343	7072287	2656056	708327	3850	1249503	0
RAJASTHAN	80.40	6984333	4238809	2745524	687004	429	339551	347453

**1.3. State-wise unspent balance during the year 2011-2012 :** States like Manipur and Uttar Pradesh reportedly have high unspent balance as compared to last year. These states are requested to reduce unspent balances. State-wise Financial Progress is at Annexure-II. State-wise position given below:

Rs. in Lakh					
S.N.	State Name	Opening Balance as on 1-4-2011	Release During 2011-12	Exp. During 2011-12	Opening balance as on 1-4-2012
1	GOA	22.39	0.00	0.00	22.39
2	GUJARAT	2766.82	4308.28	3525.46	3549.64
3	HARYANA	2339.40	335.27	1542.35	1132.32
4	HIMACHAL PRADESH	1735.93	469.57	1274.65	930.85
5	JAMMU & KASHMIR	2635.68	967.95	2469.42	1134.21
6	MAHARASHTRA	7173.71	5799.94	8391.45	4582.20
7	RAJASTHAN	6664.45	5424.41	3136.60	8952.26
<b>Grand Total:-</b>		<b>23338.38</b>	<b>17305.42</b>	<b>20339.93</b>	<b>20303.87</b>

**1.4 Convergence with others Schemes :** With a view to scale up the implementation of the Nimal Bharat Abhiyan (NBA), the Ministry has been making efforts for effective convergence of the other key flagship schemes of Government of India particularly with respect to provision of individual household latrines (IHHL) and institutional toilets. The Ministry has undertaken convergence initiatives with schemes of Mahatma Gandhi Rural Employment Guarantee Scheme (MGNREGS), Indira Awas Yojana (IAY) implemented by the Ministry of Rural Development

and ASHA workers the grassroots health activist under the framework of Ministry of Health and Family Welfare.

**(a) Convergence with MGNREGS:** Guidelines of the scheme of Mahatma Gandhi National Rural Employment Guarantee Scheme (MGNREGS) implemented by Ministry of Rural Development now include provisions for construction of IHHLs, Anganwadis, and schools, and Solid and Liquid Waste Management projects in conjunction with NBA. A Gazette notification in this regard has been issued vide no. 8591 date 4-5-2012 and detailed guidelines for taking up works relating to access to sanitation facilities have been issued vide order no. J-11017/41/2011 dated 7-6-2012. These are available on the Ministry's website as well as on website of Ministry of Rural Development.

**(b) Convergence with IAY :** The Indira Awas Yojana guidelines (Chapter III, para 3.2) state that "There should be convergence with activities and funds provided under the Nirmal Bharat Abhiyan (NBA) for providing sanitary latrines in IAY houses. All efforts should be made to ensure that every IAY house is provided with a sanitary latrine under the Nirmal Bharat Abhiyan(NBA). Intensive IEC may be done for construction and usage of toilets before and after construction to achieve open defecation status of each household/cluster. The state wise figure for IAY and Toilets constructed in the last year and targets for 2012-13 as per on line reporting on IAY website is as follows:

**IAY and Toilets constructed during 2011-12 and targets for 2012-13**

S.N.	State	2011-12			Targets for 2012-13
		IAY Constructed	Toilet constructed	%	
1	GOA	1100	0	0	1714
2	GUJARAT	112010	91922	82.07	136470
3	HARYANA	17038	17373	101.97	19163
4	HIMACHAL PRADESH	6019	6019	100	6271
5	JAMMU AND KASHMIR	7264	0	0	19476
6	MAHARASHTRA	131551	27475	20.89	167379
7	RAJASTHAN	125410	32499	25.91	68578
<b>Total</b>		<b>400392</b>	<b>175288</b>	<b>43.78</b>	<b>419051</b>

The states must ensure that all IAY houses must have toilets through NBA funds.

**(c) Convergence with NRHM and Role of ASHA :** The Accredited Social Health Activist (ASHA) is one of the key components of the National Rural Health Mission (NRHM) who works as an interface between the community and the public health system to promote health care at household level. Behaviour Change Communication (BCC) is critical for adoption of good sanitation practices by communities wherein ASHAs can contribute significantly. **There are 8.1 lac ASHAs in the country and each one of them can play a key role at the village level, in creating awareness for demand generation for sanitation facilities.** Some States like **Madhya Pradesh, Rajasthan and Maharashtra** reportedly are already utilising their services successfully for advocating the cause of good sanitation. An incentive of Rs 75/- per household toilet has been fixed as a norm to be given to ASHA workers for promoting toilet usage. Payment of the incentive may be made from the 15% of the District Project outlay that is earmarked for IEC activities under NBA.

## 1.5 Utilisation Certificate

States must ensure timely submission of UCs and ASAs as per provisions for release of annual instalments. A separate note is being circulated in this regard. State-wise status is given below :-

S.N.	State	Amount to be release as per available fund in 2012-13	Proposed release in 2012-13 as 1st Instalment	Status
1	GOA	118.16	59.08	
2	GUJARAT	7898.84	3949.42	Clarification received against IFD's observations. Scrutiny of the document under process
3	HARYANA	1412.51	706.25	Discrepancies found in UC/ASA received from the state. Communicated to the state.
4	HIMACHAL PRADESH	3333.90	1666.95	UCs/ASA has been received. File has been sent to IFD for release of first installment for 2012-13.
5	JAMMU & KASHMIR	7022.04	3511.02	Previous year release regularized. First installment released.
6	MAHARASHTRA	24818.45	12409.22	UCs/ASA was not in order. Communicated to the state.
7	RAJASTHAN	13770.98	6885.49	UCs/ASA not received so far

## 1.6 Issues with States :

- Selection of additional GPs to meet project objectives
- Revised PIP for the changed norms by 16<sup>th</sup> August, 2012
- Baseline survey in the selected GPs
- IEC activities in selected GPs
- Dovetailing MGNREGA
- Coverage of Schools
- Coverage of Anganwadis & Rented Anganwadis
- Convergence with IAY
- Preparation of GP level SLWM plans
- Dysfunctional Toilets

**PERCENTAGEWISE PHYSICAL PROGRESS REPORT AS PER INFORMATION RECEIVED UPTO 30-6-2012**

**Percentage-wise Project Performance against Project Objectives**

S.N.	State	IHHL BPL			IHHL APL			IHHL TOTAL			Sanitary Complex			School Toilets			Toilets for Anganwadi		
		Appr.	Ach.	% age	Appr.	Ach.	% age	Appr.	Ach.	% age	Appr.	Ach.	% age	Appr.	Ach.	% age	Appr.	Ach.	% age
1	GOA	17935	17031	94.96	27388	17522	63.98	45323	34553	76.24	150	0	0	731	446	61.01	547	58	10.6
2	GUJARAT	2046857	1990996	97.27	3331630	2473267	74.24	5378487	4464263	83	1671	1771	100	40439	32883	81.32	30516	24991	81.89
3	HARYANA	636940	614329	96.45	1458494	1409317	96.63	2095434	2023646	96.57	1335	1281	95.96	9160	9141	99.79	7599	7442	97.93
4	HIMACHAL PRADESH	218167	248828	100	632583	779373	100	850750	1028201	100	1229	723	58.83	20738	16893	81.46	10308	8442	81.9
5	JAMMU & KASHMIR	703071	265914	37.82	767732	159240	20.74	1470803	425154	28.91	1080	926	85.74	27277	18181	66.65	1070	229	21.4
6	MAHARASHTRA	3623439	2686727	74.15	6104904	4439083	72.71	9728343	7125810	73.25	8210	6048	73.67	87452	88190	100	60076	58555	97.47
7	RAJASTHAN	1960903	1044358	53.26	5023430	3272419	65.14	6984333	4316777	61.81	1544	511	33.1	85662	67304	78.57	32269	13922	43.14
<b>Total</b>		<b>9207312</b>	<b>6868183</b>	<b>74.59</b>	<b>17346161</b>	<b>12550221</b>	<b>72.35</b>	<b>26553473</b>	<b>19418404</b>	<b>73.13</b>	<b>15219</b>	<b>11260</b>	<b>73.99</b>	<b>271459</b>	<b>233038</b>	<b>85.85</b>	<b>142385</b>	<b>113639</b>	<b>79.81</b>

## Annexure-II

## FINANCIAL PROGRESS REPORT UPTO 30-6-2012

Rs. in lakh

S.N.	State Name	Total Projects Outlay	Approved Share			Release of funds				Expenditure Reported			
			Centre	State	Benef	Centre	State	Benef	Total	Centre	State	Benef	Total
1	GOA	1059.43	634.96	292.25	132.22	172.32	112.86	0.00	285.18	149.93	97.97	0.00	247.90
2	GUJARAT	70231.96	43924.90	17352.98	8954.08	30854.03	14243.85	9229.03	54326.91	27910.78	11494.73	8170.00	47575.50
3	HARYANA	23087.84	13922.67	5687.00	3478.17	11136.10	5173.13	4147.38	20456.61	10167.15	4303.40	2603.68	17074.22
4	HIMACHAL PRADESH	19632.55	13118.40	4997.33	1516.82	7081.23	2766.05	815.66	10662.94	6291.57	2337.87	581.08	9210.51
5	JAMMU & KASHMIR	40598.74	28374.07	9628.36	2596.31	11754.80	2932.75	1904.21	16591.76	7134.54	2862.25	778.33	10775.12
6	MAHARASHTRA	148969.04	97771.77	36414.52	14782.75	56251.27	28690.04	12643.58	97584.89	52658.55	22220.23	6994.25	81873.03
7	RAJASTHAN	102243.17	69096.73	25759.93	7386.51	29086.17	8930.15	2953.09	40969.40	20543.07	7328.33	1951.86	29823.26
	<b>Total :-</b>	<b>405822.73</b>	<b>266843.50</b>	<b>100132.37</b>	<b>38846.86</b>	<b>146335.92</b>	<b>62848.83</b>	<b>31692.95</b>	<b>240877.69</b>	<b>124855.59</b>	<b>50644.78</b>	<b>21079.20</b>	<b>196579.54</b>