



**Government of India  
Ministry of Drinking Water & Sanitation**



**Agenda notes for review meeting with State Secretaries  
In-charge of Rural Water Supply and Rural Sanitation**

**(Chhattisgarh, Gujarat, Haryana, Himachal Pradesh, Jammu &  
Kashmir, Madhya Pradesh, Punjab and Rajasthan)**

**Nirmal Bharat Abhiyan(NBA)**

**25<sup>th</sup> October, 2012  
Conference Hall of Secretary(DWS),  
Nirman Bhawan, New Delhi**

**AGENDA FOR MEETING OF THE STATE SECRETARIES IN CHARGE OF RURAL DRINKING WATER AND SANITATION TO BE HELD ON 25<sup>TH</sup> OCTOBER, 2012**

A review meeting with the State Secretaries in charge of Rural Drinking Water and Sanitation is to be held under the Chairmanship of Shri Pankaj Jain, Secretary (DWS) on 25<sup>th</sup> October, 2012 at 10.30 am at Conference Room of Secretary, Ministry of Drinking Water and Sanitation, Nirman Bhawan, New Delhi.

Agenda items for discussions in respect of rural sanitation are as follows:

**1. Review performance of states under NBA**

**1.1 Physical progress against AIP-2012-13 Targets upto September, 2012**

The State-wise achievements against Annual Targets for the 2012-13 is as under:

S.N.	State	Individual household latrines(IHHLs)			School Toilets			Anganwadi Toilets		
		Obj.	Ach.	%	Obj.	Ach.	%	Obj.	Ach.	%
1	CHHATTISGARH	269647	16846	6.25	2345	1061	45.25	259	61	23.55
2	GUJARAT	285713	78798	27.58	8785	2836	32.28	5545	319	5.75
3	HARYANA	145478	10167	6.99	144	148	102.78	315	131	41.59
4	HIMACHAL PRADESH	4085	3804	93.12	3915	114	2.91	1919	4	0.21
5	JAMMU & KASHMIR	265946	2699	1.01	5549	207	3.73	359	6	1.67
6	MADHYA PRADESH	960385	227423	23.68	16769	681	4.06	5179	78	1.51
7	PUNJAB	157410	43421	27.58	114	329	288.60	906	564	62.25
8	RAJASTHAN	687004	112941	16.44	15107	3491	23.11	9712	634	6.53

In construction of individual household latrine (IHHL), the performance of **Chhattisgarh, Haryana and Jammu and Kashmir** is below the national average.

In construction of School toilet the performance of **Himachal Pradesh, Jammu & Kashmir and Madhya Pradesh** is below the national average.

In construction of Anganwadi toilet the performance of **Gujarat, Himachal Pradesh, Jammu & Kashmir, Madhya Pradesh** is below the national average.

States have to speed-up implementation. **State-wise detailed physical progress against AIP-2012-13 Targets is at Annexure-I**

## 1.2 Conjoint approach of Water and Sanitation-2012-2013

As part of the approach in the 12<sup>th</sup> Five Year Plan recommended by the Working Group, better convergence between NRDWP and NBA is envisaged so as to have a saturation approach for sustained water supply and sanitation systems. Accordingly, a conjoint planning and implementation process for both the schemes is to be adopted in a phased manner.

State-wise GPs marked for Conjoint Approach for 2012-13 is given below:-

S.N.	State	GPs identified for Conjoint Approach for 2012-13
1	CHHATTISGARH	365
2	GUJARAT	1406
3	HARYANA	1681
4	HIMACHAL PRADESH	2129
5	JAMMU & KASHMIR	17
6	MADHYA PRADESH	4453
7	PUNJAB	6738
8	RAJASTHAN	1052

States are requested to firm-up marked GPs for NGP and fill-up data as per provision made on online IMIS.

## 2. Financial Progress

**2.1 Cumulative financial Progress :** Expenditure against centre release is below national average in the states of **Himachal Pradesh, Jammu & Kashmir, Punjab and Rajasthan.**

State-wise status is given below-

State	(Rs. in crore)			
	Approved-Centre share	Centre-Release	Centre-Exp	% Exp. against released
CHHATTISGARH	455.97	283.49	255.73	90.21
GUJARAT	439.25	348.03	288.44	82.88
HARYANA	139.23	111.36	103.59	93.02
HIMACHAL PRADESH	131.18	87.48	65.80	75.21
JAMMU & KASHMIR	283.74	117.55	72.65	61.80
MADHYA PRADESH	1130.87	836.67	692.51	82.77
PUNJAB	151.40	29.22	16.45	56.31
RAJASTHAN	690.97	339.63	257.52	75.82

State-wise detailed financial progress is at **Annexure-II**

## 2.2 State-wise unspent balance during the year 2012-2013

Some states like Gujarat, Himachal Pradesh, Jammu & Kashmir and Madhya Pradesh reportedly have high unspent balance. These states are requested to reduce unspent balances. State-wise position given below:

Rs. in lakh					
S.N.	State Name	Opening Balance as on 1-4-2012	Release During 2012-13	Exp. During 2012-13	Unspent balance as on 30-9-2012
1	CHHATTISGARH	3500.84	0	725.62	2775.23
2	GUJARAT	3549.64	3949.42	1540.01	5959.04
3	HARYANA	1132.32	0	355.53	776.79
4	HIMACHAL PRADESH	930.85	1666.96	429.46	2168.36
5	JAMMU & KASHMIR	1140.21	3511.01	161.27	4489.95
6	MADHYA PRADESH	5816.37	12922.98	4323.54	14415.81
7	PUNJAB	1664.23	0	387.74	1276.49
8	RAJASTHAN	8952.26	4877.2	5617.95	8211.5

## 2.3 Status of fund release for 2012-13

Status of funds release to states during 2012-13 is give below:

(Rs. in lakh)

S.N.	State	Allocation amount for 2012-13	Amount released	Remarks
1	Chhattisgarh	59629.21	0	APR for 2010-11 and Audit Report for 2009-10 & 2010-11 has not been submitted by the State and thus, regularization of previous year release (only first installment has been released during previous year) is still pending.
2	Gujarat	7898.84	3949.42	First released on condition. Regularization of previous year release is still pending. Clarification against discrepancies received from the State. File has been sent to IFD for regularization on the basis of clarification submitted by the State.
3	Haryana	1412.51	0	Regularization previous year release (only first installment was released during previous year) is still pending. Following observations have been observed during scrutiny of the documents:- i) OB for 2010-11 given in UC and ASA is not matching. ii) Grant in aid reflected in Audit Report does not match with UC for 2010-11 iii) Interest accrued given in UC is not matching with Audit Report for 2009-10 iv) CB shown in Receipt & Payment a/c probably is not being reflected correctly.
4	Himachal Pradesh	3333.9	1666.95	First installment released on condition. Regularization of previous year release is still pending. IFD has recommended for special audit as UC/ASA for 2009-10 and 2010-11 has been revised so many times. A D.O letter is sent to PAG (Audit) Himachal Pradesh on the basis of recommendation of IFD.

5	Jammu & Kashmir	7022.04	3511.01	Previous year release regularized and first installment released without any condition.
6	Madhya Pradesh	25779.96	12889.98	Previous year release regularized and first installment released without any condition.
7	Punjab	550.52	0	Regularization previous year release (only first installment was released during previous year) is still pending. Following observations have been observed during scrutiny of the documents:- i) OB for 2010-11 does not match with CB for 2009-10. ii) The expenditure incurred by the SWSM is far below of 60% of the available fund. iii) No Audit Report i.e Receipt & Payment A/c, Income & Expenditure A/c, Balance Sheet and Certificate of Eligible Districts was not submitted by the State. Now documents received from state recently is under Scrutiny.
8	Rajasthan	13770.98	4877.2	First installment released on condition as previous year release has not been regularized. IFD has raised following observations during release of balance funds for 2011-12 :- OB for 2010-11 in UC and ASA is not matching ii) Expenditure for 2010-11 in UC and ASA is not matching iii) Administrative expenditure is above 5% iv) CB for 2010-11 in UC and ASA is not matching v) Certificate for Eligible Districts has not been submitted vi) UCs for 2010-11 have been given in a plain paper without any file reference.

States are required to submit consolidated UCs/ASAs at the State level to the Ministry at the earliest to enable the Ministry to process the cases for release of funds under NBA.

### 3. Submission of Revised Annual Implementation Plan 2012-13:

With reference to letter No. W.11013/18/2012-CRSP dated 23<sup>rd</sup> July, 2012, each State has to prepared revised Annual Implementation Plan for the year 2012-13. Till date out of 30 states only 12 states have submitted revised Annual Implementation Plan 2012-13. The remaining states are requested to expedite the same. State-wise status is below:-

S.N.	State	Revised AIP-2012-13 Submitted (Yes/No)
1	CHHATTISGARH	YES
2	GUJARAT	YES
3	HARYANA	
4	HIMACHAL PRADESH	
5	JAMMU & KASHMIR	
6	MADHYA PRADESH	YES
7	PUNJAB	YES
8	RAJASTHAN	

States must provide information on identified APL categories as per NBA guidelines for financial incentive alongwith number of SLWM projects to be taken-up in existing NGP and proposed NGP GPs.

**4. Swachchhata Doot :** Interpersonal communication and door to door to door contact are recognized as the most significant tools for attaining the programme goals. IEC campaign also provides for engagement of motivators at the village level for demand creation and taking up behaviour change communication. The Ministry has also issued a guideline in this regard laying down the terms and conditions for the engagement of village level motivators. A detailed account of the Swachchhata Doots is also maintained in the website with the names and contact details. The dedication and enthusiasm of these messengers will surely go a long way towards enhancing rural sanitation coverage.

The Ministry has engaged 47,837 Swachchhata Doots or Sanitation Messengers by now, to strengthen communication machinery at the village level with participatory social mobilization and proactive work at the grass-root level for behavior change and demand creation. State-wise status is given below :-

S.N.	State Name	NO.OF SWACHCHHATA DOOT
1	CHHATTISGARH	147
2	GUJARAT	8453
3	HARYANA	6321
4	HIMACHAL PRADESH	2359
5	JAMMU & KASHMIR	6
6	MADHYA PRADESH	18870
7	PUNJAB	0
8	RAJASTHAN	470

States are requested to accelerate engagement of Swachchhata Doots. Ministry of Rural Development has programme for Bharat Nirman Volunteers (BNVs) whose mandate includes sanitation also. To accelerate their involvement in Sanitation, appropriate BNVs to be also taken on board as Swachchhata Doots.

## 5. Capacity Building

The Nirmal Bharat Abhiyan(NBA) is a demand driven programme which not only aims at provision of toilet facilities but also facilitates awareness building amongst rural communities on use of toilets and hygiene education. NBA follows a paradigm shift approach and rests heavily on capacity development of its programme managers and all stakeholders at different levels for effective implementation. Training programmes are being organized for various stakeholders on various key areas of sanitation both at the State level and the Central level.

**2% of IEC fund is earmark specifically for capacity building of all stakeholders to properly execute the programme.** The HRD activities undertaken by the State are training of various Stakeholders – PRIs, Officials, SHGs, NGOs, Teachers, Motivators, AWW, ANMs, District officials, VWSCs. In the AIP meetings it was suggested that a training calendar giving details of the trainings conducted, level of trainees, topics covered must be prepared by the State in the beginning of the financial year.

**Review meetings were held with all Key Resource Centres (KRCs) to review the training programmes being conducted by them. A matrix of States covered by the various KRCs has been prepared and new KRCs are being identified to provide exclusive trainings**

**in sanitation. States must also identify Key Resource Centre and Regional/District Resource Centre which can impart training in the State.**

With a view to undertake continuous professional development of project implementers to equip them to handle various issues and emerging challenges in the sector such as, sustainability of sanitation facilities and its use, developing sustainable technology options in sanitation, the Ministry provides funds to KRCs for undertaking training programmes for State officials, District level officers, teachers, Anganwadis workers and officers/members of PRIs on various aspects of sanitation. Currently the Ministry has identified 17 KRCs for imparting training on sanitation for the entire country. Out of these 17 KRCs, **the Ministry has supported 4 KRCs in the last financial year 2011-2012 namely All India Institute of Public Health (AIIPH) National Institute of Administrative Research (NIAR).Tata Energy Research Institute (TERI) and Plan India.**

Review meetings were held with all KRCs to review the training programmes being conducted by them. A matrix of States covered by the various KRCs has been prepared and new KRCs are being identified to provide exclusive trainings in sanitation.

The state wise activity matrix of these KRCs which is as under:

S.N.	State	Name of the KRC
1	Arunachal Pradesh	AIIPH
2	Assam	AIIPH
3	Bihar	TERI
4	Haryana	TERI
5	Jammu and Kashmir	NIAR
6	Jharkhand	Plan India
7	Madhya Pradesh	TERI
8	Manipur	NIAR
9	Mizoram	AIIPH
10	Nagaland	AIIPH
11	Orissa	NIAR
12	Rajasthan	NIAR
13	Sikkim	AIIPH
14	Tripura	AIIPH
15	Uttar Pradesh	TERI
16	West Bengal	AIIPH

**States without KRCs are as under:**

S.N.	State
1	Andhra Pradesh
2	Chhattisgarh
3	Dadar and Nagar Haveli
4	Goa
5	Gujarat
6	Himachal Pradesh

7	Karnataka
8	Kerala
9	Maharashtra
10	Meghalaya
11	Puducherry
12	Punjab
13	Tamil Nadu
14	Uttarakhand

In the States which are not covered by any KRC, institutions are being identified that may impart training. New KRCs are being identified keeping in view the need for imparting training on new topics of sanitation and to cover the uncovered States.

Some of the new KRCs being identified are IRMA, Gujarat, IIMC, New Delhi, Jamia Milia Islamia University, NEW Delhi, XLRI, Jamshedpur, Appa Patwardhan Tantra Niketan, Maharashtra, Malviya Institute, Rajasthan, Institute of Health Management and Research, Rajasthan, TISS, Mumbai, Maharashtra, Socio Economic Foundation (SEUF), Kerala, KIIT Orissa, Gramalya, Tamil Nadu and All India Institute of Local Self Government, Mumbai, Maharashtra.

**States must review HRD activities in their state including functioning of BRCs, WSSO, VWSC, CCDU, training programmes conducted, and give a feedback on KRCs conducting training programmes. States must also identify State Key Resource Centre and Regional/District Resource Centre that can impart trainings in the State.**

## **6. Solid and Liquid Waste Management (SLWM)**

A *Nirmal Bharat* is the dream of a clean and healthy nation that thrives and contributes to the wellbeing of our people. Effective solid and liquid waste management is the key to achieve this and create a clean environment. Effective management of SLWM includes management of biodegradable and non biodegradable waste, management of all grey water generated in the village and general cleanliness of the village.

Under NBA, Solid and Liquid Waste management (SLWM) to be implemented in 'project mode' for each Gram Panchayat (GP) with financial assistance for each GP on basis of number of households. Provision of Rs. 7/12/15/20 lakh for Gram Panchayats having up to 150/300/500/ more than 500 households on a Centre and State/GP sharing ratio of 70:30 have been made under NBA. Each district has to prepare panchayat-wise plan for Solid and Liquid Waste Management.

## **7. Convergence with others Schemes :**

With a view to scale up the implementation of the Nirmal Bharat Abhiyan (NBA), the Ministry has been making efforts for effective convergence of the other key flagship schemes of Government of India particularly with respect to provision of individual household latrines (IHHL) and institutional toilets. The Ministry has undertaken convergence initiatives with schemes of Mahatma Gandhi Rural Employment Guarantee Scheme (MGNREGS), Indira Awas Yojana (IAY) implemented by the Ministry of Rural Development and ASHA workers the



grassroots health activist under the framework of Ministry of Health and Family Welfare. Ministry of Women & Child Development is requested include information on sanitation facilities in the Family Health Register of Anganwadi workers.

**7.1 Convergence with MGNREGS:** One of the major achievements has been the revision of guidelines of the scheme of Mahatma Gandhi National Rural Employment Guarantee Scheme (MGNREGS) implemented by Ministry of Rural Development which aims to provide sustainable livelihood options for the poor. With concerted efforts of the Ministry, a Gazette notification and detailed guidelines for taking up works relating to access to sanitation facilities were included in the MGNREGS guidelines. , The labor component (skilled and unskilled) of the IHHL has been worked out in the context of linking construction of Toilets under NBA with MGNREGA.

**7.2 Convergence with IAY :** NBA is also being converged with the Indira Awas Yojana (IAY) scheme which aims at provision of housing for the poor. The Indira Awas Yojana guidelines (Chapter III, para 3.2) states that “There should be convergence with activities and funds provided under the Nirmal Bharat Abhiyan (NBA) for providing sanitary latrines in IAY houses. All efforts should be made to ensure that every IAY house is provided with a sanitary latrine under the Nirmal Bharat Abhiyan(NBA). Intensive IEC may be done for construction and usage of toilets before and after construction to achieve open defecation status of each household/cluster.

**7.3 Convergence with NRHM and Role of ASHA :** The Accredited Social Health Activist (ASHA) is one of the key components of the National Rural Health Mission (NRHM) who works as an interface between the community and the public health system to promote health care at household level. Behaviour Change Communication (BCC) is critical for adoption of good sanitation practices by communities wherein ASHAs can contribute significantly .**There are 8.1 lac ASHAs in the country and each one of them can play a key role at the village level, in creating awareness for demand generation for sanitation** facilities. Some States like **Madhya Pradesh, Rajasthan and Maharashtra** reportedly are already utilising their services successfully for advocating the cause of good sanitation.

**The Ministry has taken an initiative for incentivizing ASHA workers for their role in creating demand for water and sanitation.** An incentive of **Rs 75/-** per household toilet has been fixed as a norm to be given to ASHA workers for promoting toilet usage. Payment of the incentive may be made from the 15% of the District Project outlay that is earmarked for IEC activities under NBA.

## State-wise Achievement against AIP-2012-13 Targets(Upto Sep, 2012)

S.N.	State	Individual Household latrines(IHHLs)									School Toilets			Anganwadi Toilets			Sanitary Complex		
		BPL Obj.	BPL Ach.	%	APL Obj.	APL Ach.	%	Total Obj.	Total Ach.	%	Obj.	Ach.	%	Obj.	Ach.	%	Obj.	Ach.	%
1	CHHATTISGARH	139736	10823	7.75	129911	6023	4.64	269647	16846	6.25	2345	1061	45.25	259	61	23.55	129	4	3.10
2	GUJARAT	88595	16148	18.23	197118	62650	31.78	285713	78798	27.58	8785	2836	32.28	5545	319	5.75	41	5	12.20
3	HARYANA	29451	3241	11.00	116027	6926	5.97	145478	10167	6.99	144	148	102.78	315	131	41.59	65	10	15.38
4	HIMACHAL PRADESH	564	131	23.23	3521	3673	0.00	4085	3804	93.12	3915	114	2.91	1919	4	0.21	569	85	14.94
5	JAMMU & KASHMIR	151039	2599	1.72	114907	100	0.09	265946	2699	1.01	5549	207	3.73	359	6	1.67	111	31	27.93
6	MADHYA PRADESH	315199	131370	41.68	645186	96053	14.89	960385	227423	23.68	16769	681	4.06	5179	78	1.51	589	27	4.58
7	PUNJAB	155000	31993	20.64	2410	11428	474.19	157410	43421	27.58	114	329	288.60	906	564	62.25	141	28	19.86
8	RAJASTHAN	247477	36300	14.67	439527	76641	17.44	687004	112941	16.44	15107	3491	23.11	9712	634	6.53	695	23	3.31

## FINANCIAL PROGRESS REPORT UPTO 30-9-2012

Rs. in lakh

S.N.	State Name	Total Projects Outlay	Approved Share			Release of funds				Expenditure Reported			
			Centre	State	Benef	Centre	State	Benef	Total	Centre	State	Benef	Total
1	CHHATTISGARH	67877.81	45596.64	16475.61	5805.56	28348.60	15238.83	2760.68	46348.11	25573.37	11688.46	2533.43	39795.27
2	GUJARAT	70231.96	43924.90	17352.98	8954.08	34803.45	14355.74	9244.75	58403.94	28844.40	11919.75	8207.08	48971.23
3	HARYANA	23087.84	13922.67	5687.00	3478.17	11136.10	5192.80	4184.05	20512.95	10359.31	4385.58	2639.70	17384.59
4	HIMACHAL PRADESH	19632.55	13118.40	4997.33	1516.82	8748.19	2766.05	820.36	12334.60	6579.83	2461.44	585.99	9627.26
5	JAMMU & KASHMIR	40598.74	28374.07	9628.36	2596.31	11754.80	3094.42	1984.07	16833.29	7264.85	2891.40	860.50	11016.75
6	MADHYA PRADESH	170288.99	113086.85	41987.69	15214.45	83667.22	29474.95	14806.12	127948.29	69251.41	25901.10	8511.04	103663.55
7	PUNJAB	24134.47	15139.89	6532.40	2462.18	2921.86	822.21	106.29	3850.36	1645.37	527.96	105.40	2278.74
8	RAJASTHAN	102243.17	69096.73	25759.93	7386.51	33963.37	9352.21	3007.96	46323.54	25751.87	8832.32	2043.36	36627.55