

No. S-11015/1/2017-SBM  
Government of India  
Ministry of Drinking Water & Sanitation  
Swachh Bharat Mission Division

12<sup>th</sup> Floor, Pt.Deendayal Antyodaya Bhawan  
CGO Complex, Lodhi Road,  
New Delhi-110003

Dated: 23<sup>rd</sup> January 2017

To

Principal Secretaries/Secretaries in-charge of Rural Sanitation  
All States/UTs

Madam/Sir,

The States are required to prepare Annual Implementation Plans (AIPs) each year. The Plan reflects the annual activities that are to be undertaken to achieve the overall goal of Swachh Bharat on/or before 2<sup>nd</sup> October 2019.

2. The Swachh Bharat Mission (Gramin) reflects reorientation of the rural sanitation programme towards achievement of outcomes on a sustainable basis. Under the new programme, the key element is behaviour change. There is also a focus on achievement of open defecation free villages and their sustainability. Community-led processes have been mentioned as a preferred approach since that ensures sustainability of outcomes. The capacity building towards community approach has, therefore, been emphasized and a number of initiatives are being taken towards that end. There is also an attempt to improve the overall capacities for programme implementation, including simplifying the administrative and financial mechanisms, improving transparency and reorienting efforts towards achievement of results. Evaluation and documentation is also being emphasized. The States are expected to use the flexibility given to them to promote innovations.

3. Keeping in view the above, the AIP2017-18 format has been revised and is attached herewith. **State Annual Implementation Plan (AIP) 2017-18 as per the template may please be sent in hard copy and also uploaded on Ministry Website/SBM(G)-MIS on or before 10.2.2017.** The AIP-2017-18 meeting will be held on **18<sup>th</sup> February, 2017** at National Institute of Rural Development (NIRD), Hyderabad along with Conference of State Secretaries on **17<sup>th</sup>-18<sup>th</sup> Feb, 2017.**



(Arun Baroka)  
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Encl. : As above

Copy to :

1. State SBM(G) Co-ordinator of All States/UTs
2. PPS to Secretary(DWS)
3. PS to JS(Water), PS to OSD, SBM(G),
4. Director(MT), Director(NV), Director(YJ)

**TEMPLATE**

For furnishing

**ANNUAL IMPLEMENTATION PLAN (AIP) FOR 2017-18**



**Swachh Bharat Mission (Gramin)**

Ministry of Drinking Water and Sanitation  
Government of India

**2017-18**

## BASIC INFORMATION

1) State \_\_\_\_\_

2) Implementing Agency:

STATE LEVEL: (SWSM)/SRDA/Sanitation Mission/Other State Govt. agency  
\_\_\_\_\_

Chairman of Executive Committee of the State Committee/Agency

(by Designation) \_\_\_\_\_

3) Address for communication-----

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Phone: \_\_\_\_\_ (O) \_\_\_\_\_ (R) \_\_\_\_\_ (M)

Email: \_\_\_\_\_

4) Account details of SWSM/SRDA/CCDU/other agency of State Government

Account No:-----

Name of Bank :-----

Branch :-----

IFSC Code :-----

### 3. State Profile

i. No. of Districts :

ii. No. of Blocks :

iii. No. of GPs :

iii. No. of villages :

v. No. of Rural households :

vi. No. of Rural Below Poverty Line (BPL) HHs :

vii. No. of Rural Above Poverty Line (APL) HHs :

## 1. Progress during 2016-17

### (a) Sanitation Coverage

	Sanitation Coverage as on 1.4.2016	Sanitation Coverage as on 31.1.2017	Expected Sanitation Coverage as on 31.3.2017
Rural Sanitation Coverage			

### (b) Open Defecation Free Status

	Target for 2016-17	Achievement as on 31.01.2017	Expected Achievement as on 31.3.2017
Gram Panchayats			
Villages			

Please provide a Note on the ODF verification Process adopted in your State (along with copy of State circulars)

### (c) Physical Progress

(The district-wise status to be enclosed)

TABLE 1

Components	Annual Objective for year 2016-17	Achievement for the year 2016-17 (Upto 31.1.2017)	% Achievement against Objectives 2016-17	Expected Achievement as on 31.3.2017
IHHL-APLs				
IHHL-BPLs				
IHHL-Total				
Community Sanitary Complex				

### (d) Solid and Liquid Waste Management

Solid Liquid Waste Management Projects/Activities has been initiated (Nos. of GPs)	Annual Objective for year 2016-17	Achievement for the year 2016-17 (Upto 31.1.2017)	% Achievement Against Objectives 2016-17	Expected Achievement as on 31.3.2017

Please provide details of activities taken-up under SLWM  
**(e) Financial release and expenditure**  
 (The district-wise status to be enclosed)

**TABLE 2**

(Rs. In Lakh)

Share	O. B. as on 1.04.2016	Funds released during the year 2016-17 (Upto 31.01.2017)	Interest Earned during the Year (if any)	Total Available Funds during the Year	Expenditure during the year 2016-17 (Upto 31.01.2017)	Unspent Balance as on 31.01.2017	Anticipated Expendure during the year 2016-17 (Upto 31.03.2017)
GOI share							
State Share							
Beneficiaries Share							
<b>Total</b>							

**(f) IEC/IPC activities done in 2016-17**

Total No. of GPs	No. of GPs in which awareness activities targeted in 2016-17	No. of GPs in which awareness activities carried out upto 31.1.2017	Expected No. of GPs in which awareness activities upto 31.03.2017	No. of GPs in which triggering exercise targeted in 2016-17	No. of GPs in which triggering exercise carried upto 31.1.2017	Expected No. of GPs in which triggering exercise up to 31.03.2017

Please provide a one page note on the key IEC/IPC activities undertaken in the State

**(g) Training and capacity building**

Total No. of GPs	No. of motivators planned to be trained in 2016-17	No. of motivators trained upto 31.1.2017	No. of motivators planned to be trained in Community process & triggering in 2016-17	No. of motivators trained in community process & triggering upto 31.1.2017

**(h) Institutional Structure**

Please provide a 1-2 page Note on the institutional structure for implementation of SBM(G) in your State under the following headings

- (i) Nodal Department :
- (ii) Mission Director/State Coordinator with rank :
- (iii) Institutional structure at the district level; Involvement of Collectors; Involvement of CEO/CDO, Zilla Panchayat :
- (iv) Institutional structure at the block level; Involvement of BDOs
- (v) Institutional structure at the village level
- (vi) Payment/Honorarium structure at District, block and village levels.
- (vii) Whether there is any incentive structure linking work to achievement of outcomes.

**(i) Please provide a Note on the fund flow mechanism upto village level. Is there electronic transfer of funds/DBT? What is the usual time taken to reach funds upto village level after requirement ? How is the issue of parked funds addressed ?**

**(j) Please provide a note on the technology(ies) commonly utilized in the State ? What are the technologies adopted for difficult areas ? How are local innovations encouraged ?**

**(k) Please provide Note on the process of evaluations/RALU/Documentation of success stories**



**(e) Community Sanitary Complexes**

Community Sanitary Complex (Actual requirement)	Total No. of GPs	Objective for the Year 2017-18	Objective for Quarter-1 (Apr-Jun 2017)	Objective for Quarter-II (Jul-Sep 2017)	Objective for Quarter-III (Oct-Dec 2017)	Objective for Quarter-IV (Jan-Mar 2018)

**(f) Solid and Liquid Waste Management (SLWM) projects for 2016-17**  
District-wise position to be enclosed

TABLE 4

(Rs. In Lakhs)

Components	Total no. of GPs	No. of GPs in which SLWM already undertaken up to 31.1 2017	Objectives for the Year 2017-18	
			Number	Amount
No. of projects on Solid Liquid Waste Management (No. of GPs)				

Provide details of SLWM Plan.

**(g) Expected expenditure on SC/ST for the year 2017-18**

TABLE 5

Components	Expenditure during 2016-17 (upto 31.01.2017)		Anticipated Expenditure during 2016-17 (upto 31.3.2017)		Expected expenditure for the year 2017-18	
	Amount (in Rs. lakh)	%	Amount (in Rs. Lakh)	%	Amount (in lakh)	%
SC Sub Plan Exp.						
ST Sub Plan Exp.						

**(h) IEC/IPC activities proposed for the year 2017-18**

Total No. of GPs	No. of GPs in which awareness activities proposed in 2017-18	No. of GPs in which triggering exercise proposed in 2017-18



**(i) Proposed institutional structure**

**Is there any change/enhancement/strengthening proposed in the institutional structure. If yes, please provide details. It is proposed to link work to achievement of outcomes?**

**(j) What improvements are planned for streamlining fund flow mechanism? Is electronic transfer being effected?**

**(k) What improvements are planned for providing innovative technologies/addressing difficult areas?**

**(l) What is the plan for monitoring and evaluation/RALU work/documentation?**

(m) Synopsis of Physical and Financial Proposal for the year 2017-18

(Amount in Rs. in lakhs)

Component	2017-18				
	Unit	Amount (Rs. in lakh)			Total
		Centre share	State share	Beneficiary share	
IEC including Capacity building and start-up activities (5%)					
IHHL-BPLs					
IHHL-APLs-SC					
IHHL-APLs-ST					
IHHL-APLs-Small and marginal farmers					
IHHL-APLs-Landless labourers with homestead					
IHHL-APLs-Physically handicapped					
IHHL-APLs-Women headed households					
IHHL-APLs-Others					
Sanitary Complexes					
Revolving Fund upto 5%					
Solid and Liquid Waste Management					
Administrative Charges(2%)					
<b>Total</b>					

**Note: CS-Central Share; SS-State Share; BS-Beneficiary Share**

(The district-wise status to be enclosed)