

OFFICE MEMORANDUM

Subject: Dovetailing of funds under NBA with IAY for construction of toilets – Modifications in NBA guidelines.

The existing para 5.4.2 of the Nirmal Bharat Abhiyan (NBA) guidelines provide convergence of NBA and Indira Awaas Yojana (IAY) that all houses constructed by the beneficiaries under Indira Awaas Yojana (IAY) or any other state rural housing scheme which did not have toilet shall also be eligible for the incentive as prescribed under NBA for creation of sanitation facilities for the targeted groups under NBA. For strengthening the convergence of NBA and IAY it has now been decided to modify NBA guidelines as under: (The changes have been indicated in bold and underlines sentences)

Existing provisions	Modified Provisions
<p>Para 5.4.2 "5.4.2 The incentive amount to Below Poverty Line (BPL) household/identified APLs for Construction of one unit of IHHL shall be Rs.4600.00 (Rs.5100.00 for difficult and hilly areas). The Central Share out of this shall be Rs.3200.00 (Rs.3700.00 in case of hilly and difficult areas) and State Government share shall be Rs.1400.00. Minimum beneficiary share shall be Rs.900.00 in cash or labour. State Government s are allowed the flexibility to provide higher incentive for a household toilet, of the same or higher unit costs from their own funds. All houses constructed with Central or /and state assistance should invariably have suitable sanitation facility as an integral part. However, All houses constructed by the beneficiaries under Indira Awas Yojana (IAY) or any other state rural housing scheme which did not have toilets shall also be eligible for the incentive as above for creation of sanitation facilities for the targeted groups under NBA."</p>	<p>Para 5.4.2 "The incentive amount to Below Poverty Line (BPL) household/identified APLs for Construction of one unit of IHHL shall be Rs.4600.00 (Rs.5100.00 for difficult and hilly areas). The Central Share out of this shall be Rs.3200.00 (Rs.3700.00 in case of hilly and difficult areas) and State Government share shall be Rs.1400.00. Minimum beneficiary share shall be Rs.900.00 in cash or labour. State Government s are The underlined portion may be added so that convergence could be implemented allowed the flexibility to provide higher incentive for a household toilet, of the same or higher unit costs from their own funds. All houses constructed with Central or /and state assistance should invariably have suitable sanitation facility as an integral part. However, All houses constructed by the beneficiaries under Indira Awas Yojana (IAY) or any other state rural housing scheme which did not have toilets shall also be eligible for the incentive as above for creation of sanitation facilities for the targeted groups under NBA. <u>Implementing agency for IAY in the District will provide the list of identified IAY houses to be constructed during the year so that it could be taken as NBA target of the District in Annual Implementation Plan (AIP).</u>"</p>
<p>Para 7.2.3 "SWSM shall supervise implementation of NBA in the project districts in the State, ensure convergence mechanism between line departments, prepare the annual Implementation Plan for each district as per the progress made by the districts, receive Grant-in-aid for Centre earmarked for specific project districts and disburse to the DWSMs. All projects shall have to be approved by State Scheme Sanctioning Committee (SSSC). The mission shall meet at least once in six months."</p>	<p>Para 7.2.3 "SWSM shall supervise implementation of NBA in the project districts in the State, ensure convergence mechanism between line departments, prepare the annual Implementation Plan for each district as per the progress made by the districts, receive Grant-in-aid for Centre earmarked for specific project districts and disburse to the DWSMs. <u>SWSM will ensure the convergence of NBA and IAY by including target of toilets in IAY houses in the AIP of the districts. The aggregated IAY targets of the project district shall be</u></p>

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	<p><u>reflected in the State AIP</u> All projects shall have to be approved by State Scheme Sanctioning Committee (SSSC). The mission shall meet at least once in six months."</p>
<p>Para 7.4 ".....DWSM should plan and implement the district NBA project with appropriate IEC strategies and convergence mechanisms with other line department. DWSM should also ensure fund flow to the GPs for attaining the objectives of NBA. It should review and monitor programme implementation so that the objectives of the district annual action plans may be achieved leading to sustainable Nirmal Gram Panchayats. "</p>	<p>Para 7.4 ".....DWSM should plan and implement the district NBA project with appropriate IEC strategies and convergence mechanisms with other line department. DWSM should also ensure fund flow to the GPs for attaining the objectives of NBA. It should review and monitor programme implementation so that the objectives of the district annual action plans may be achieved leading to sustainable Nirmal Gram Panchayats. <u>SWSM will ensure the convergence of NBA and IAY by including target of toilets in IAY houses in the AIP of the districts. The aggregated IAY targets of the project district shall be reflected in the State AIP</u></p>
<p>Para 12.1 The main objective of the annual Implementation Plan (AIP) is to provide a definite direction to the programme for creation of Nirmal Grams. It is also required to provide basis for monthly and quarterly monitoring of physical and financial progress during the course of the financial year vis-à-vis the planned activities. The AIP should include: a) Report on the progress made by the State in achieving the objectives of NBA during the previous year against the AIP objectives b) Reasons and comments for variation, if any; c) A plan of activities with physical and financial estimates under each component of the NBA for the proposed financial year. d) Monthly Quarterly projected targets e) Write ups of success stories, best practices, innovations introduced, new technologies used</p>	<p>The main objective of the annual Implementation Plan (AIP) is to provide a definite direction to the programme for creation of Nirmal Grams. It is also required to provide basis for monthly and quarterly monitoring of physical and financial progress during the course of the financial year vis-à-vis the planned activities. The AIP should include: a) Report on the progress made by the State in achieving the objectives of NBA during the previous year against the AIP objectives b) Reasons and comments for variation, if any; c) A plan of activities with physical and financial estimates under each component of the NBA for the proposed financial year. d) Monthly Quarterly projected targets e) Write ups of success stories, best practices, innovations introduced, new technologies used <u>"f) The AIP should also include a plan of activities with physical and financial estimates for the toilets to be constructed in IAY houses for the proposed financial year"</u></p>
<p>Para 13.1.6 (additional para)</p>	<p><u>After receipt of funds from State / SWSM, DWSM shall transfer the amount (Center & State Share) relating to construction of toilet in IAY houses as per the target fixed to Implementing agency for IAY. Implementing Agency for IAY will be responsible for submitting Utilization certificate (UCs) and Audited Statement of Accounts (ASAs) so that a consolidated UCS and ASAs could be prepared by DWSM for submitting to State / SWSM. After receipt of completion certificate from IAY Implementing Agency, DWSM will enter the data in MIS.</u></p>
<p>Para 13.2.3 (additional para)</p>	<p><u>The District Implementing Agency shall transfer the funds in respect of targeted IAY houses during the year after receipt of request from IAY Implementing Agency in two installments, the first installment being 50% of the required funds as per the target approved for toilets in IAY houses. The second installment will,</u></p>

217 -

	<u>however, be released on utilization of 60% of the available funds with the IAY implementing agencies and on submission of required UCs/ASAs as per NBA guidelines.</u>
Para 15.2 (additional para)	<u>The "INSPECTIONS" Clause will also applicable in respect of toilets construction with IYA Houses.</u>

It is requested that the above mentioned modifications in NBA guidelines may be noted and may be brought to the notice of all concerned for necessary action.



(Saraswati Prasad)
Joint Secretary (S&A)
Ph. No. 24362705

To,

The Principal Secretary / Secretary
(In-charge of Rural Sanitation)
All States /UTs

W-11042/38/2012-CRSP
Government of India
Ministry of Drinking Water and Sanitation
NBA Division

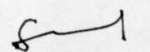
For convergence of Nirmal Bharat Abhiyan (NBA) with Indira Awaas Yojana (IAY), the following modifications in NBA guidelines have been made. The bold with underlined portion indicates addition to the existing guidelines. The bold portion relates more specifically to IAY.

Existing provision	Modified Provision
<p>Para 5.4.2 "The incentive amount to Below Poverty Line (BPL) household/identified APLs for Construction of one unit of IHHL shall be Rs.4600.00 (Rs.5100.00 for difficult and hilly areas). The Central Share out of this shall be Rs.3200.00 (Rs.3700.00 in case of hilly and difficult areas) and State Government share shall be Rs.1400.00. Minimum beneficiary share shall be Rs.900.00 in cash or labour. State Governments are allowed the flexibility to provide higher incentive for a household toilet, of the same or higher unit costs from their own funds. All houses constructed with Central or /and state assistance should invariably have suitable sanitation facility as an integral part. However, All houses constructed by the beneficiaries under Indira Awas Yojana (IAY) or any other state rural housing scheme which did not have toilets shall also be eligible for the incentive as above for creation of sanitation facilities for the targeted groups under NBA."</p>	<p>Para 5.4.2 "The incentive amount to Below Poverty Line (BPL) household/identified APLs for Construction of one unit of IHHL shall be Rs.4600.00 (Rs.5100.00 for difficult and hilly areas). The Central Share out of this shall be Rs.3200.00 (Rs.3700.00 in case of hilly and difficult areas) and State Government share shall be Rs.1400.00. Minimum beneficiary share shall be Rs.900.00 in cash or labour. State Governments are allowed the flexibility to provide higher incentive for a household toilet, of the same or higher unit costs from their own funds. All houses constructed with Central or /and state assistance should invariably have suitable sanitation facility as an integral part. However, All houses constructed by the beneficiaries under Indira Awas Yojana (IAY) or any other state rural housing scheme which did not have toilets shall also be eligible for the incentive as above for creation of sanitation facilities for the targeted groups under NBA. <u>Implementing agency for IAY in the District will provide the list of identified IAY houses to be constructed during the year so that it could be taken as part of the NBA target of the District in Annual Implementation Plan (AIP).</u>"</p>
<p>Para 7.2.3 "SWSM shall supervise implementation of NBA in the project districts in the State, ensure convergence mechanism between line departments, prepare the annual Implementation Plan for each district as per the progress made by the districts, receive Grant-in-aid from Centre earmarked for specific project districts and disburse to the DWSSMs. All projects shall have to be approved by State Scheme Sanctioning Committee</p>	<p>Para 7.2.3 "SWSM shall supervise implementation of NBA in the project districts in the State, ensure convergence mechanism between line departments, prepare the annual Implementation Plan for each district as per the progress made by the districts, receive Grant-in-aid from Centre earmarked for specific project districts and disburse to the DWSSMs. <u>SWSM will ensure the convergence of NBA and IAY by including target of toilets in IAY houses in the AIP of the districts. The aggregated IAY targets</u></p>

<p>(SSSC). The mission shall meet at least once in six months.”</p>	<p><u>of the project districts shall be reflected in the State AIP.</u> All projects shall have to be approved by State Scheme Sanctioning Committee (SSSC). The mission shall meet at least once in three months.”</p>
<p>Para 7.4 “.....DWSM should plan and implement the district NBA project with appropriate IEC strategies and convergence mechanisms with other line department. DWSM should also ensure fund flow to the GPs for attaining the objectives of NBA. It should review and monitor programme implementation so that the objectives of the district annual action plans may be achieved leading to sustainable Nirmal Gram Panchayats. “</p>	<p>Para 7.4 “.....DWSM should plan and implement the district NBA project with appropriate IEC strategies and convergence mechanisms with other line department. DWSM should also ensure fund flow to the GPs for attaining the objectives of NBA. It should review and monitor programme implementation so that the objectives of the district annual action plans may be achieved leading to sustainable Nirmal Gram Panchayats. <u>WWSM will ensure the convergence of NBA and IAY by including targets of toilets in IAY houses in the AIP of the districts. The aggregated IAY targets of the project districts shall be reflected in the State AIP</u></p>
<p>Para 12.1 The main objective of the annual Implementation Plan (AIP) is to provide a definite direction to the programme for creation of Nirmal Grams. It is also required to provide basis for monthly and quarterly monitoring of physical and financial progress during the course of the financial year vis-à-vis the planned activities. The AIP should include: a) Report on the progress made by the State in achieving the objectives of NBA during the previous year against the AIP objectives b) Reasons and comments for variation, if any; c) A plan of activities with physical and financial estimates under each component of the NBA for the proposed financial year. d) Monthly Quarterly projected targets e) Write ups of success stories, best practices, innovations introduced, new technologies used</p>	<p>The main objective of the annual Implementation Plan (AIP) is to provide a definite direction to the programme for creation of Nirmal Grams. It is also required to provide basis for monthly and quarterly monitoring of physical and financial progress during the course of the financial year vis-à-vis the planned activities. The AIP should include: a) Report on the progress made by the State in achieving the objectives of NBA during the previous year against the AIP objectives b) Reasons and comments for variation, if any; c) A plan of activities with physical and financial estimates under each component of the NBA for the proposed financial year. d) Monthly Quarterly projected targets e) Write ups of success stories, best practices, innovations introduced, new technologies used <u>“f) The AIP should also include a plan of activities with physical and financial estimates for the toilets to be constructed in IAY houses for the proposed financial year”</u></p>

Para 13.1.6 (additional para)	<u>After receipt of funds from State / SWSM, DWSM shall transfer the amount (Center & State Share) relating to construction of toilet in IAY houses as per the target fixed to Implementing agency for IAY. Implementing Agency for IAY will be responsible for submitting Utilization certificate (UCs) and Audited Statement of Accounts (ASAs) to DWSM so that a consolidated UCS and ASAs could be prepared by DWSM for submitting to State / SWSM. After receipt of completion certificate from IAY Implementing Agency, DWSM will enter the data in MIS.</u>
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It is requested that the above mentioned modifications in NBA guidelines may be noted and may be brought to the notice of all concerned.



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Joint Secretary (S&A)
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To,
The Principal Secretary /Secretary
In- charge of Rural Sanitation)
All States/UTs