

W-11042/29/2016-Water-II

Government of India
Ministry of Drinking Water & Sanitation

4th Floor, Paryavaran Bhawan
CGO Complex, Lodi Road
New Delhi- 110 003
Date: 28, November, 2017

To

The Principal Secretary
In-charge of Rural Water Supply
All States

Subject: Preparation of Risk Registers of NRDWP

Madam / Sir,

I am directed to refer DO No. CCA/MoRD/IAW/Risk Based Audit/2017-18/1838-56 dated 07.09.2017 (copy enclosed for reference) from Chief Controller of Accounts, Ministry of Rural Development regarding scheme wise preparation of Risk Registers.

As the implementation of the schemes under NRDWP is done by states after preparation and vetting of DPRs of the proposals by bodies like STA, SLSSC etc It is requested to furnish the details of engineering judgment made by the states while designing and executing projects along with information about natural disasters that might affect these projects and proposed remedial steps for mitigation.

Yours Sincerely

Encl: As above.


28.11.2017.
(A.K. Srivastava)
Under Secretary-Water
Phone: 24363515

भारत सरकार

ग्रामीण विकास मंत्रालय

273, जी-विंग, कृषि भवन, नई दिल्ली- 1100114

दूरभाष: 011-23382521, फ़ैक्स: 011-23385440

GOVERNMENT OF INDIA

MINISTRY OF RURAL DEVELOPMENT

273, G-WING, KRISHI BHAWAN, NEW DELHI-110114

PHONE : 011-23382521 FAX : 011-23385440



सत्यमेव जयते

Ajay S. Singh, (ICAS)
Chief Controller of Accounts

DO No. CCA/MoRD/IAW/Risk Based Audit/2017-18/1838-56

Dated: 07/09/2017

Dear, *Radha ji*

Kindly refer to the DO letter No. G-25014/21/2010/MF.CGA/IAD/731 dated 20.06.2017(copy enclosed) from Sh. Anthony Lianzuala, Controller General of Accounts, Ministry of Finance, regarding preparation of Risk Registers (Scheme wise).

In this regard, I shall be grateful if you could direct the concerned officers in your Division to prepare the Risk Register in respect of the scheme. In case of any difficulty, officers may contact the IAW of my office or Sh.Satish Jadhav, Controller of Accounts for any help in the matter.

With *regards*.

Yours sincerely

Ajay S. Singh
(Ajay S. Singh)

Ms. V. Radha
Joint Secretary,
Ministry of Drinking Water & Sanitation
New Delhi.



सत्यमेव जयते

एन्थोनी लियान्जुआला

महालेखा नियंत्रक

ANTHONY LIANZUALA

Controller General of Accounts

भारत सरकार
महालेखा नियंत्रक कार्यालय
वित्त मंत्रालय, व्यय विभाग
महालेखा नियंत्रक भवन
चतुर्थ तल, ब्लॉक-ई, जी पी ओ कॉम्प्लेक्स,
आई एन ए, नई दिल्ली-110023

GOVERNMENT OF INDIA
OFFICE OF CONTROLLER GENERAL OF ACCOUNTS
MINISTRY OF FINANCE
DEPARTMENT OF EXPENDITURE
MAHALEKHA NIYANTRAK BHAWAN
4th FLOOR, BLOCK-E, GPO COMPLEX, INA
NEW DELHI-110023
TEL. : +91-11-24617758, Fax : +91-11-24622305

Dated :: 20th June, 2017

Dear

As per the Revised Charter of Financial Advisers issued by the Ministry of Finance, Department of Expenditure, the Internal Audit function in the Government of India must move beyond compliance /regulatory audit and focus on performance evaluation. Accordingly, Risk Based Internal Audit approach aimed at an assessment of the adequacy of internal controls for risk mitigation, is being promoted by the Office of Controller General of Accounts and the Ministry of Rural Development has been identified as one of the Core Ministries for taking forward this approach.

2. For conducting Risk based Internal Audit of schemes included in your Annual Audit Plan for the year 2017 – 18, the preparation of a Risk-Register is a critical pre-requisite. The Risk register should record identified risks, their severity and the proposed remedial steps to be taken towards their mitigation.

3. May I request you to suitably advise your Programme Division heads to have scheme-specific Risk-registers prepared in collaboration with the Principal Chief Controller of Accounts /Chief Controller of Accounts.

Yours sincerely,

Sd/-

(Anthony Lianzuala)

Shri Amarjeet Sinha
Secretary,
Ministry of Rural Development
Krishi Bhavan, New Delhi.

Copy to:-Shri Ajay Shankar Singh, Chief Controller of Accounts, M/o R.D., New Delhi.

In file
27/6

SHI S.C. JAIN

A. Lianzuala
20/6
(Controller General of Accounts)

28/6/17