

Engagement of agency to carry out assessment of functionality of household tap water connection in rural areas in States/ UTs' under Jal Jeevan Mission



Government of India
Ministry of Jal Shakti
Department of Drinking Water and Sanitation
National Jal Jeevan Mission

Expression of Interest
for
Engagement of agency to carry out assessment of functionality of household tap water connection in rural areas in States /UTs

Important dates

S.No.	Particulars	Details
1.	Issue of Eol notice	13.07.2023, 1300hrs
2.	Pre-bid meeting	20.07.2023, 1500hrs
3.	Last date for Eol submission	03.08.2023, 1500hrs
4.	Date of opening of Eol/bids	04.08.2023, 1500hrs
5.	Date of technical presentation as per NIT	To be intimated later

W-11016/3/2023-JJM-IV-DDWS
Government of India
Department of Drinking Water and Sanitation
Ministry of Jal Shakti
National Jal Jeevan Mission

12th Floor,
Pt. Deendayal 'Antyodaya Bhawan',
CGO Complex, Lodhi Road,
New Delhi - 110 003
Dated: 13th July 2023

NOTICE FOR INVITING EXPRESSION OF INTEREST (Eoi)

Subject: Inviting Eoi for **Engagement of agency to carry out assessment of functionality of household tap water connection in rural areas in States/ UTs' under Jal Jeevan Mission**

1. Department of Drinking Water and Sanitation (DDWS), Ministry of Jal Shakti invites Expression of Interest (Eoi) from Agency/ Firm/ Organization/ institution to carry out assessment of functionality of household tap water connection in rural areas in States/ UTs' under Jal Jeevan Mission in all districts of the country. An Agency may express interest for said assignment subject to fulfillment of required eligibility criteria.

2. The terms of reference (ToR) is attached at **Annex 1**. The Declaration/ Undertaking and other forms are available at **Annex 2-14** with the Eoi.

3. The Eoi and ToR with Annexures can be downloaded from the website <https://jalshakti-ddws.gov.in/tender> and also from CPP portal www.eprocure.gov.in.

4. Earnest Money Deposit (EMD)

i.) Agencies shall submit, along with their Proposals, EMD of INR 30,00,000 (Rupees Thirty Lakh Only), in the form of Insurance Surety Bonds/ Account Payee Demand Draft/ Fixed Deposit Receipt/ Banker's Cheque or Bank Guarantee (including e-Bank Guarantee) issued/ confirmed from any of the Commercial Banks in India in favour of Pay and Accounts Officer, Department of Drinking Water and Sanitation, payable at New Delhi or payment online¹ to the account details mentioned in Appendix -1, and should be valid for forty-five days (45) beyond final bid validity period².

ii.) The EMD amount is interest free and will be refundable to the unsuccessful Agencies without any accrued interest. EMD of all unsuccessful bidders would be refunded by DDWS

¹ Online payment through RTGS is acceptable only

² The final bid validity period has been mentioned at CPP Portal

within 30 days after declaration of result of EoI stage. The EMD, for the amount mentioned above, of successful bidder would be returned after signing of Contract and submission of Performance Guarantee/Security.

- iii.) The Proposal submitted without EMD unless exempted will be summarily rejected.
- iv.) Relaxation for MSME and Start-up for EMD submission as per GFR 2017.
 - a) As defined by Department of Policy & Promotion (DIPP), an entity shall be considered as a 'start-up':
 - i) Up to ten years from the date of its incorporation/ registration.
 - ii) If its turnover for any of the financial years has not exceeded Rs 100 (Rupees Hundred) crore
 - iii) It is working towards innovation, development or improvement of products or processes or services, or if it is a scalable business model with a high potential of employment generation or wealth creation
 - iv) Provided further that in order to obtain benefits a Startup so identified under the above definition shall be required to be recognized as Startup by DPIIT.
- v.) The EMD may be forfeited:
 - a) If bidder withdraws its proposal during the period of bid validity.
 - b) In case of a successful bidder, if the bidder fails to sign the contract in accordance with the tender document.
 - c) In case the bidder, after qualification at EoI stage, fails to submit the proposal at RfP stage.

4.1 Who can submit Expression of Interest (EoI) – Prequalification criteria

DDWS seeks expression of interest from Organizations/ Institution/ Agencies fulfilling the following mandatory eligibility requirements:

- i.) The Agency/ Firm/ Organization/ institution should be registered and non-political in nature and, also should not be blacklisted or debarred by any central/ state government department/ PSU/ Agency;
- ii.) It should be a legal entity eligible to enter into an agreement / contract with DDWS to undertake work contract in India;
- iii.) Consortium, Joint venture and sub-contracting is not allowed;
- iv.) The bidder should have done at least 03 studies in last 05 years (2018-19, 2019-20, 2020-21, 2021-22 & 2022-23) with a work value of Rs. 50 Lakh or more in monitoring and evaluation of similar services.

Exemption for start-ups. Start-ups can show less than 03 projects (at least 01) of any value.

- v.) The bidder should have done at least one or more studies in last 05 years, having following conditions fulfilled: -
 - a. successfully completed evaluation studies/ assessments at the national/ state level, covering at least 15 States/ UTs; and
 - b. successfully completed evaluation studies/ assessments covering at least 150 districts.
- vi.) It should have at least 20 full time on-roll employees (excluding administrative, accounts, finance, HR, Data entry operators and Peons) (HR letter certifying the same on the firm's letter head to be provided);
- vii.) If it is a private entity/ firm, it should be a profit-making entity during any 03 of the last five financial years, i.e., 2018-19, 2019-20, 2020-21, 2021-22 and 2022-23.
- viii.) It must have average annual turnover of at least ₹ 5 Crores during in any 03 of the last 05 financial years from similar services³ (2018-19, 2019-20, 2020-21, 2021-22, and 2022-23) All applicable exemptions for startups will be given as per guidelines.
- ix.) As per manual for procurement of consultancy and other services, agency needs to provide the list of litigation or arbitration held during last five years including the ongoing ones as per format given in Annex-9;
- x.) Agency/ Firm should meet the requirements stipulated in the Ministry of Commerce, DPIIT's Order No: P-45021/2/2017-PP(BE-II), Dated: 16.09.2020 regarding Purchase Preference on the basis of Local Content and submission of declaration, accordingly, as per the format given at Annex-10
- xi.) Agency/ Firm should meet the requirements stipulated in the Order No. 6/18/2019-PPD dated 23.7.2020 of Deptt. of Expenditure about restriction under Rule 144 (xi) of GFR, 2017 and provide the certificate/ declaration in the format as at Annex-11.

5. List of documents that applicant agency/ organization needs to submit along with the application:

- i.) Application format(Annex-2);
- ii.) Copy of the registration/ Memorandum of Association of the organization;
- iii.) Complete address of the organization with contact details;

³ Similar services: consultancy services including carrying out evaluation and assessment studies having on ground survey/questionnaire etc.

- iv.) Copy of PAN, GSTIN/ Service Tax Registration Certificate;
- v.) Copy of the audited financial statements for last five years (2018-19, 2019-20, 2020-21, 2021-22, 2022-23);
- vi.) Affidavit that the organization is currently not black-listed or debarred by any government department or agency;
- vii.) HR letter certifying the number of on roll permanent employees on the firm's letter head to be provided as per clause under section 4.1
- viii.) All the documents mentioned in the annexures.
- ix.) An undertaking as per the enclosed formats;
- x.) List of all similar projects completed and/ or under execution (with a work value of Rs. 50 Lakh or more) in the last 05 years. Start-ups can show less than 03 projects (at least 01) of any value. Marks per project will be same. Detailed sheet along with relevant documents with proper referencing for each project may be attached giving information as per Annex 8 on the following apart from any other information which may be considered essential (max. 2 pages per project)
 - a) Name and objective of the project
 - b) Period of execution
 - c) Geographical spread
 - d) Deliverables expected
 - e) Actual achievements
 - f) Outcome of the project
 - g) Basis of evaluation of the outcome
 - h) Current status of the project
 - i) Total cost of the consultancy
 - j) Other details mentioned in the template

Note:

- i.) The agency shall furnish details of completed projects and on-going projects in separate sheets;
- ii.) The chronology of the projects should be as per their date of commencement of the project;
- iii.) Documents i.e. letter for award of work/ contract, mentioning the duration of services/ assessment studies and service cost as well as completion certificate from the client, if project already completed, should be enclosed after the list.

6. Shortlisting of Agencies

The Department would evaluate the EoI of only those agencies which shall fulfill the prequalification requirements mentioned at para 4.1 above and submitted all the documents mentioned in para 5 above. The EoI proposals would be evaluated on the following criteria having marks as mentioned against them:

S. No	Criteria	Sub Criteria	Max. Marks (100)	Marking scheme	Marks				
1.	Work experience of the agency (35 marks)	(a) Number of years' experience in consultancy (Since the year of successfully completion of first assignment) Exemption for start-ups: Full marks will be awarded (As per Annex 3 & 4)	10	≥ 5 yrs. ≤ 7 yrs.	05				
				>7 yrs. ≤ 10 yrs.	08				
				More than 10 years	10				
		(b) Number of assessments, evaluation, studies carried out in drinking water supply or sanitation sector, social sector, service delivery, direct observation survey. (As per Annex 8A & 8B)	15	Per project 1.5 marks, maximum 10 projects (Only project with a work value of Rs. 50 Lakh or more will be considered)	1.5 per project				
						(c) Number households (HHs) covered in any one assessment/ study Provide details of the studies completed as per Annex 8C	10	≥ 50,000 HHs to <1,00,000 HHs	03
						≥ 1,00,000 HHs to <2,00,000 HHs		05	
						≥ 2,00,000 HHs to < 3,00,000 HHs		07	
≥ 3,00,000 HHs	10								
2.	Approach and methodology⁴ (30 marks) (will be evaluated through a presentation to be made by the	(a) Understanding of the TOR reflected through proposed approach & methodology (As per Annex 12)	5		5				
		(b) Methodology and	25	Approach to finalize methodology to carry out the	5				

⁴ Presentation to be made by the proposed Team Leader along with proposed statistician

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S. No	Criteria	Sub Criteria	Max. Marks (100)	Marking scheme	Marks
	Agency before a committee of DDWS along with the submission of technical proposal) (Date of presentation shall be intimated later)	Work Plan (As per Annex 12)		study	
				Sampling plan to assess Key Performance Indicators at district level	5
				Sample questionnaire for village, households, public institutions,	5
				Mobilization plan to carry out the assessment and orientation of assessors	5
				Quality assurance & control plan	2.5
				Innovations/ Value Addition	2.5
3.	Human Resource (20 marks)	Team leader (As per annex 13)	3.0	Education:	
				• Graduation from any stream.	1.0
				• Master's in data analytics/ mathematics/ statistics/ economics/ Civil or Environmental Engineering/ social studies, Rural Management, Urban / Regional Planning/ Economics/ Geography	2.0
				• Ph.D	3.0
7.0	Experience in conducting similar field surveys involving data analytics/ statistics/ accreditation and 2 years in a team-leader capacity:	• ≥ 8 years to ≤ 10 years	5.0		
		• > 10 years to ≤15 years	6.0		
		• More than 15 years	7.0		
		Senior statistician	3.0	Education:	
				• Graduation from any	

S. No	Criteria	Sub Criteria	Max. Marks (100)	Marking scheme	Marks
		(As per annex 13)		stream with mathematics or statistics as one of the subjects	1.0
				<ul style="list-style-type: none"> Master's in data analytics/ statistics/ mathematics/ Economics/ Anthropology/ Urban Planning Ph.D 	2.0
					3.0
			7.0	Experience in statistical design in similar field surveys: <ul style="list-style-type: none"> ≥ 8 years to ≤ 10 years ≥ 11 years to ≤ 15 years More than 15 years 	5.0 6.0 7.0
4.	Financial strength (15 marks)	Average Annual Turnover figure (Rs. in Crore) for at least any three years out of last five years (2018-19, 2019-20, 2020-21, 2021-22 and 2022-23) Exemption for start-ups: Full marks will be awarded (As per annex 5 and CA certificate)	15	≥ ₹ 5 Crore < ₹ 7.5 Crore ≥ ₹ 7.5 Crore < ₹ 10 Crore ≥ ₹ 10 Crore < ₹ 12.5 Crore ≥ ₹ 12.5 Crore	05 7.5 10 15

Note: The agency securing minimum 75 (Seventy-five) marks will be short listed for next stage.

The selection of the firm (in RFP Stage) shall follow the method of **Quality and Cost Based Selections (QCBS)**.

7. How to apply/ Application process



- i.) The Expression of Interest (Eoi) with all relevant information and documents must be submitted online at Central Procurement Portal <https://eprocure.gov.in> on or before the **last date of submission mentioned in this Eoi on CPP portal.**
- ii.) Applicants shall be responsible for registering themselves at Central Public Procurement Portal for uploading of their proposals and ensure that the proposals are uploaded in time on the Central Public Procurement Portal on or before the date prescribed above.

8. Contact Details

Further details, if any, may be obtained by writing to the above-mentioned address or at e-mail: subodh.mudgil@nic.in or at telephone no. 011-24364259.

(Manoj Kumar Jha)

Under Secretary to the Govt. of India

e-mail: manojkumar.jha@nic.in

Note: DDWS reserves the right to cancel this request for Eoi and/ or invite afresh with or without amendments, without liability or any obligation for such request for Eoi and without assigning any reason. Information provided at this stage is indicative and DDWS reserves the right to amend/ add further details in the Eoi. **The amendments, if any, shall be notified on DDWS web site/ CPP portal only and these amendments will be binding on all the Bidders/ Applicants.**