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संयुक्त सचिव
Satyabrata Sahu, I.A.S.
Joint Secretary



भारत सरकार
पेयजल एवं स्वच्छता मंत्रालय
Government of India
Ministry of Drinking Water and Sanitation

D.O. No. W-11011/32/2015-Water-I

13th November, 2017

Dear *Madam / Sir,*

The Competent Authority has approved restructuring of National Rural Drinking Water Programme (NRDWP). Necessary changes in the guideline of NRDWP will be issued shortly. Meanwhile, extract of changes made along with provisional **allocation and release to be applicable in the balance period of 2017-18** is attached herewith for information of all concerned.

2. The State Government is hereby requested to send the proposal for release of second installment as per the above.

With *Regards,*

Encl.: As above

Yours sincerely,

[Signature]
13/11/17
[Satyabrata Sahu]

To

The Addl. Chief Secy. / Principal Secy. / Secretary
In-charge of Rural Water Supply in
All States / UT (A&N Islands and Puducherry)

Copy to : Engineer-in-Chief / Chief Engineer, incharge of Rural Water Supply in all States/ UTs.

ग्रामीण क्षेत्रों में
पेयजल स्थायित्व एवं सम्पूर्ण स्वच्छता

Sustainable Drinking Water and
Sanitation for all in Rural Areas

PROVISIONAL ALLOCATION

(Rs in lakhs)

S. No.	States	Allocation of 2nd installment of funds - Sustainability (Functionality)	Allocation of 2nd installment of funds - Normal	Total Allocation of 2nd installment of funds
1	A & N Islands	15.14	15.14	30.28
2	Andhra Pradesh	5,106.66	4,583.22	9,689.88
3	Bihar	8,946.02	8,029.05	16,975.07
4	Chhatisgarh	1,488.42	2,289.87	3,778.29
5	Goa	90.43	81.16	171.59
6	Gujarat	6,420.47	5,762.36	12,182.83
7	Haryana	2,331.78	2,092.77	4,424.55
8	Himachal Pradesh	2,467.42	2,214.51	4,681.93
9	Jammu & Kashmir	8,360.82	7,503.83	15,864.64
10	Jharkhand	-	3,334.52	3,334.52
11	Karnataka	8,461.58	7,594.26	16,055.84
12	Kerala	2,472.59	2,219.15	4,691.74
13	Madhya Pradesh	3,903.95	6,616.86	10,520.81
14	Maharashtra	12,813.80	11,500.38	24,314.18
15	Orissa	4,075.77	3,658.00	7,733.77
16	Pondicherry	31.24	31.24	62.47
17	Punjab	2,737.42	2,456.83	5,194.25
18	Rajasthan	16,057.62	14,411.71	30,469.33
19	Tamil Nadu	4,760.45	4,272.50	9,032.94
20	Telangana	4,038.30	3,624.38	7,662.68
21	Uttar Pradesh	8,431.72	12,045.31	20,477.03
22	Uttarakhand	2,687.04	2,411.61	5,098.65
23	West Bengal	10,244.32	9,194.28	19,438.60
Sub-Total of non-NE States		1,15,942.94	1,15,942.94	2,31,885.89
North-Eastern States		-	-	-
24	Arunachal Pradesh	2,703.71	2,569.22	5,272.93
25	Assam	10,594.51	10,067.54	20,662.05
26	Manipur	1,122.07	1,066.26	2,188.33
27	Meghalaya	1,460.84	1,388.18	2,849.02
28	Mizoram	780.57	741.75	1,522.32
29	Nagaland	-	922.36	922.36
30	Sikkim	323.94	307.82	631.76
31	Tripura	1,558.02	1,480.53	3,038.55
Sub-Total of NE States		18,543.66	18,543.66	37,087.32
Grand Total		1,34,486.60	1,34,486.60	2,68,973.21

[Handwritten Signature]
13/11/17

National Rural Drinking Water Programme

w.e.f. 13.11.2017

1. Allocation:

a) Earmarking of Funds: Table-1

Earmarking	Allocation
Ministry level expenditures specific to NRDWP	As required
National Water Quality Sub-Mission	As required
Rural Water Supply and Sanitation Project (RWSSP) (EAP)	As required
States with Japanese Encephalitis / Acute Encephalitis Syndrome affected high priority districts with bacteriological contamination * on 90:10 sharing basis for Assam and 50:50 sharing basis for others	2% of NRDWP Budget
North Eastern States (which includes Sikkim)	10% of NRDWP Budget
Releases already made under Calamity Component in 2017-18 (applicable for 2017-18 only)	As amount released under Calamity
Non North-Eastern States / UT	Balance amount

* To be allocated amongst states as per the proportion of population (as per IMIS) residing in the affected districts, released in 2 instalments and to be used only for PWS schemes and / or conversion of public shallow hand pumps to 'India Mark II Hand Pumps'.

b) Weightage for Allocation of Funds amongst States: Table-2

Criteria	Weightage (%)
Rural Population (as per last Census)	40
Rural SC and ST population (as per last Census)	10
States under DDP, DPAP, HADP and special category Hill States in terms of rural areas	40
Population (as per IMIS) residing in habitations having affected by chemical contaminants including heavy metals (as on 31 st March of preceding financial year)	10
Total	100

c) Components for the purpose of release and fund sharing pattern: Table-3

Components	Fund sharing pattern
<ul style="list-style-type: none"> ○ Normal Component (75%) ○ Sustainability (Functionality) component (25%). <p>These funds are for piped water supply schemes only. However, 5% (max.) of every release can be used for each of following activities:</p>	<ul style="list-style-type: none"> ▪ 100:0 for UTs ▪ 90:10 for NE and Himalayan States ▪ 50:50 for other States

<ul style="list-style-type: none"> ○ Support ○ WQM&S <p>In case, a State is unable to use the funds for Support and WQM&S activities, unused funds may be used for PWS schemes.</p>	<p>It will be 60:40 for Non-NE and Non-Himalayan States for Support and WQM&S.</p>
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- d) Fund under Sustainability (Functionality) component is an incentive for sustainability (Functionality) of the completed schemes. Third party evaluation for the schemes will be conducted on an annual basis to decide the allocation under sustainability (functionality) component.
- e) **Flexi funds** - Guidelines for Flexi funds will be applicable for all the releases as per the advisory of the Ministry of Finance.

2. Release:

- a) Releases will be made in 2 instalments but in 3 parts.
- b) 1st instalment : 50% (Normal Component)
- c) 2nd instalment:
- First Part : 25% [Sustainability (Functionality) component]
- Second Part : 25% (Normal Component)
- For 2017-18, release approved under all components till 10.11.2017 will be considered as 1st installment and balance amount will be considered for 2nd Installment.
- d) All these funds to be utilized first for ongoing schemes according to decreasing order of their physical progress. However, the fund may be used for the new / ongoing (irrespective of stage of physical completion) schemes which are planned to cover Arsenic / Fluoride affected habitations or in SAGY-GPs or ODF declared villages.
- e) While making first such allocation / release in 2017-18, the amount available as opening balance as on 01.04.2017 and subsequent releases till date under existing components [Coverage & WQ, O&M, Sustainability (source), WQ earmarked (Chemical), DDP, Support and WQM&S] would be grouped in one entity.
- However, unutilized Calamity funds shall be returned by the States.
- f) Utilization of 60% of the available funds and corresponding expenditure under the State share (unutilized opening balance, if any from the previous year plus funds released as the first instalment) would be required to trigger the release of 2nd Installment.
- g) Other provisions with respect to release and to comply GFR must be met.
- h) **Release of First Part of 2nd Installment i.e. 25% Sustainability (Functionality) component:**
- I. A Notional Allocation (for deciding the quantum of Sustainability component for each State) will be 25% of the State allocation.
 - II. Its Final Allocation will be arrived at by multiplying the notional allocation with the Functionality % of the completed piped water supply schemes, as assessed by third party study during sample checks already carried out in December, 2016.
 - i. States have to maintain a minimum level of functionality of 80% of the schemes to get their notional sustainability component in full.
 - ii. States, where functionality of schemes is less than 80% but up to 50% will get a proportion of their notional sustainability allocation, which will be a notional allocation multiplied with functionality %.
 - iii. States having functionality of schemes less than 50% would not be eligible to get fund under this component.

- iv. Any balance funds will be distributed among the high performing States (minimum 80% functionality) in the proportion of the amount to be released as per (i) above.
 - v. The release will be made on the receipt of the proposal along with documents as under and UC / ASA for all the components under previous guidelines.
 - Certificate of ACS / PS / Secy. confirming
 - the expenditure of 60% of available fund in 2017-18 and matching share of the state,
 - The detail of progress made in 2017-18 with the available funds under various schemes (mentioning scheme ID, physical progress (%) as on 01.04.2017 and physical progress (%) as on the date of proposal) and other activities.
- i) **Release of Second Part of 2nd Installment i.e. 25% Normal Component: to be claimed by 30th November**
- i. The notional allocation would be provided to states which would be allowed till **30.11.2017 only.**
 - ii. **This will be released in Reimbursement Mode.**
 - iii. The release will be made on the receipt of the proposal along with documents as under and UC / ASA for all the components under previous guidelines.
 - Certificate of ACS / PS / Secy. confirming
 - the expenditure of 60% of available fund in 2017-18 and matching share of the state,
 - the expenditure of amount (25%) proposed under this release and state matching share (#)
 - The detail of progress made in 2017-18 with the available funds under various schemes (mentioning scheme ID, physical progress (%) as on 01.04.2017 and physical progress (%) as on the date of proposal) and other activities.
- # For NE and Himalayan states, the states would be required to incur the expenditure only up to 10% state share and 10% of central share [out of 90% total central share]. For UTs, the reimbursement funding is not applicable, since 100% funding is provided by the Centre.
- j) **Additional installment (an incentive for performing States) which is to be in Competition cum reimbursement mode – to be released 1st December onwards as per following procedure:**
- i. The funds under Normal component not got released till 30th November would be brought into a pool from which the performing States can get additional funds.
 - ii. The additional fund will be limited to twice the amount of 1st instalment.
 - iii. The additional funds can be availed in reimbursement mode by the States on a First-Come-First-Served basis (calendar day considered as unit). This can be availed even by states who have not lifted their 2nd part of the 2nd instalment.
 - iv. In case of multiple proposals received in one particular calendar day and the total claimed funds (subject to the upper limit) exceeds the availability of funds, the releases will be made on proportional basis.
 - v. To claim this installment, States will have to send the proposal as required in case of 2nd instalment (of Normal component) as elaborated under para 2(i) above.
 - vi. This additional instalment can only be claimed in one go.